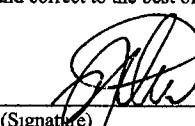


NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor META MATERIALS, INC		Case Number 24-50792
1 Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor Referred to hereinafter as the 'Interest holder') RAYMOND R CALUPITAN 3643 MALLORY PLACE WILLIAMSBURG, VA 23188		<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest Attach copy of statement giving particulars <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors
Telephone Number 757-303-6010		RECEIVED AND FILED MAR 17 2025
NOTE This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.		
Account or other number by which Interest holder identifies Debtor 275324363		Check here if this claim <input type="checkbox"/> replaces a previously filed Proof of Interest dated _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated _____
2 Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest RAYMOND R CALUPITAN P.O BOX 2209 OMAHA, NE 68103-2209		3 Date Equity Interest was acquired BETWEEN 01/28/2022 TO 09/25/2023
4 Total amount of member interest _____		5 Certificate number(s) SEE ATTACHED DOCUMENTATION
6 Type of Equity Interest Please indicate the type of Equity Interest you hold <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest Description: _____		
7 Supporting Documents Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc DO NOT SEND ORIGINAL DOCUMENTS If the documents are not available, explain If the documents are voluminous, attach a summary		
8 Date-Stamped Copy To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest		
9 Signature Check the appropriate box <input checked="" type="checkbox"/> I am the co-debtor <input type="checkbox"/> I am the creditor's authorized agent (Attach copy of power of attorney, if any) <input type="checkbox"/> I am the trustee or the debtor, or their authorized agent (See Bankruptcy Rule 3004) <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor (See Bankruptcy Rule 3005)		
I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief		
Print Name RAYMOND R CALUPITAN Title _____ Company _____ Address and telephone number (if different from notice address above) _____		 (Signature) 03/01/2025 (Date) Telephone number 757-303-6010 email DONRMN@ME.COM

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both 18 U.S.C. §§ 152 AND 3571

PROOF OF INTEREST SUPPLEMENTAL INFORMATION:

CASE: 24-50792, META MATERIALS, INC.

FOR: RAYMOND R CALUPITAN

BROKER: TD AMERITRADE

ACCT #: 275324363

TRANSACTIONS (POST-REVERSE SPLIT)

TOTAL SHARES PURCHASED: 265,363 AT TOTAL INVESTMENT OF \$179,197.80

TOTAL SHARES SOLD: 265,363 AT PRICE OF \$172,359.83

TOTAL NET LOSS OF -\$6,837.97

SEE ATTACHED STATEMENTS

Date	Action	Symbol	Description	Quantity	Price	ees & Comr	Amount
1/27/2022	Buy	MMAT	TDA TRAN - Bought 4354 (MMAT) @1.5299	4,354	\$1.53		(\$6,661.18)
1/28/2022	Sell	MMAT	TDA TRAN - Sold 2162 (MMAT) @1.4800	2,162	\$1.48	\$0.28	\$3,199.48
2/2/2022	Buy	MMAT	TDA TRAN - Bought 1734 (MMAT) @1.7285	1,734	\$1.73		(\$2,997.22)
2/4/2022	Sell	MMAT	TDA TRAN - Sold 1941 (MMAT) @1.7000	1,941	\$1.70	\$0.25	\$3,299.45
2/7/2022	Sell	MMAT	TDA TRAN - Sold 1985 (MMAT) @1.7001	1,985	\$1.70	\$0.26	\$3,374.44
4/4/2022	Buy	MMAT	TDA TRAN - Bought 5714 (MMAT) @1.7500	5,714	\$1.75		(\$9,999.50)
4/5/2022	Sell	MMAT	TDA TRAN - Sold 7230 (MMAT) @1.7204	7,230	\$1.72	\$1.00	\$12,437.49
4/5/2022	Buy	MMAT	TDA TRAN - Bought 1516 (MMAT) @1.7500	1,516	\$1.75		(\$2,653.00)
4/19/2022	Buy	MMAT	TDA TRAN - Bought 3521 (MMAT) @1.4150	3,521	\$1.42		(\$4,982.22)
5/5/2022	Buy	MMAT	TDA TRAN - Bought 3399 (MMAT) @1.2090	3,399	\$1.21		(\$4,109.39)
5/20/2022	Buy	MMAT	TDA TRAN - Bought 1408 (MMAT) @1.7499	1,408	\$1.75		(\$2,463.86)
5/20/2022	Buy	MMAT	TDA TRAN - Bought ...137 (MMAT) @1.7882	137	\$1.79		(\$244.98)
5/23/2022	Sell	MMAT	TDA TRAN - Sold ...160 (MMAT) @1.8704	160	\$1.87	\$0.03	\$299.23
5/25/2022	Buy	MMAT	TDA TRAN - Bought 2018 (MMAT) @1.6899	2,018	\$1.69		(\$3,410.22)
5/31/2022	Sell	MMAT	TDA TRAN - Sold ...323 (MMAT) @1.8801	323	\$1.88	\$0.05	\$607.22
6/13/2022	Sell	MMAT	TDA TRAN - Sold 2500 (MMAT) @1.4400	2,500	\$1.44	\$0.41	\$3,599.59
6/13/2022	Sell	MMAT	TDA TRAN - Sold 2500 (MMAT) @1.4101	2,500	\$1.41	\$0.41	\$3,524.04
6/14/2022	Sell	MMAT	TDA TRAN - Sold 2500 (MMAT) @1.4501	2,500	\$1.45	\$0.41	\$3,624.84

6/17/2022	Buy	MMAT	TDA TRAN - Bought 2484 (MMAT) @1.5700	2,484	\$1.57		(\$3,899.88)
7/5/2022	Buy	MMAT	TDA TRAN - Bought ...680 (MMAT) @1.0300	680	\$1.03		(\$700.40)
7/6/2022	Buy	MMAT	TDA TRAN - Bought ...597 (MMAT) @1.0700	597	\$1.07		(\$638.79)
7/11/2022	Sell	MMAT	TDA TRAN - Sold 1621 (MMAT) @1.0019	1,621	\$1.00	\$0.25	\$1,623.83
7/12/2022	Buy	MMAT	TDA TRAN - Bought 1674 (MMAT) @0.9554	1,674	\$0.96		(\$1,599.34)
7/15/2022	Buy	MMAT	TDA TRAN - Bought 8121 (MMAT) @0.9249	8,121	\$0.92		(\$7,511.11)
7/26/2022	Buy	MMAT	TDA TRAN - Bought 1547 (MMAT) @0.8947	1,547	\$0.89		(\$1,384.10)
7/28/2022	Buy	MMAT	TDA TRAN - Bought ...478 (MMAT) @0.9261	478	\$0.93		(\$442.68)
7/28/2022	Buy	MMAT	TDA TRAN - Bought 2235 (MMAT) @0.8947	2,235	\$0.89		(\$1,999.65)
8/8/2022	Sell	MMAT	TDA TRAN - Sold 3695 (MMAT) @0.9280	3,695	\$0.93	\$0.56	\$3,428.40
8/15/2022	Sell	MMAT	TDA TRAN - Sold 2500 (MMAT) @0.9569	2,500	\$0.96	\$0.38	\$2,391.87
8/16/2022	Sell	MMAT	TDA TRAN - Sold 2500 (MMAT) @0.9331	2,500	\$0.93	\$0.38	\$2,332.37
8/25/2022	Buy	MMAT	TDA TRAN - Bought 2280 (MMAT) @0.8353	2,280	\$0.84		(\$1,904.48)
9/6/2022	Sell	MMAT	TDA TRAN - Sold 2280 (MMAT) @0.8066	2,280	\$0.81	\$0.34	\$1,838.71
9/23/2022	Sell	MMAT	TDA TRAN - Sold ...744 (MMAT) @0.6863	744	\$0.69	\$0.11	\$510.50
9/23/2022	Sell	MMAT	TDA TRAN - Sold 9256 (MMAT) @0.6864	9,256	\$0.69	\$1.35	\$6,351.97
10/20/2022	Buy	MMAT	TDA TRAN - Bought ...454 (MMAT) @0.8300	454	\$0.83		(\$376.82)
10/21/2022	Buy	MMAT	TDA TRAN - Bought 5605 (MMAT) @0.8920	5,605	\$0.89		(\$4,999.66)
10/21/2022	Sell	MMAT	TDA TRAN - Sold ...454 (MMAT) @0.9095	454	\$0.91	\$0.07	\$412.84
10/24/2022	Sell	MMAT	TDA TRAN - Sold ...251 (MMAT) @0.8868	251	\$0.89	\$0.04	\$222.55
10/24/2022	Sell	MMAT	TDA TRAN - Sold 3554 (MMAT) @0.8820	3,554	\$0.88	\$0.53	\$3,134.10
10/24/2022	Sell	MMAT	TDA TRAN - Sold ...900 (MMAT) @0.8821	900	\$0.88	\$0.14	\$793.75
10/24/2022	Sell	MMAT	TDA TRAN - Sold ...900 (MMAT) @0.8823	900	\$0.88	\$0.14	\$793.93
10/31/2022	Buy	MMAT	TDA TRAN - Bought 6756 (MMAT) @1.1099	6,756	\$1.11		(\$7,498.48)
10/31/2022	Buy	MMAT	TDA TRAN - Bought 25000 (MMAT) @1.0991	25,000	\$1.10		(\$27,477.50)
10/31/2022	Buy	MMAT	TDA TRAN - Bought 11 (MMAT) @1.0989	11	\$1.10		(\$12.09)
11/1/2022	Sell	MMAT	TDA TRAN - Sold 1400 (MMAT) @1.1300	1,400	\$1.13	\$0.22	\$1,581.78
11/1/2022	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @1.1101	5,000	\$1.11	\$0.78	\$5,549.72
11/1/2022	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @1.1209	5,000	\$1.12	\$0.78	\$5,603.72
11/1/2022	Sell	MMAI	TDA TRAN - Sold 5006 (MMAI) @1.1000	5,006	\$1.10	\$0.78	\$5,505.82
11/1/2022	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @1.1101	5,000	\$1.11	\$0.78	\$5,549.72

11/1/2022	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @1.1106	5,000	\$1.11	\$0.78	\$5,552.22
11/1/2022	Sell	MMAT	TDA TRAN - Sold 3600 (MMAT) @1.1201	3,600	\$1.12	\$0.56	\$4,031.80
11/1/2022	Sell	MMAT	TDA TRAN - Sold 1761 (MMAT) @1.1010	1,761	\$1.10	\$0.27	\$1,938.59
11/9/2022	Buy	MMAT	TDA TRAN - Bought 1230 (MMAT) @1.3999	1,230	\$1.40		(\$1,721.88)
11/9/2022	Buy	MMAT	TDA TRAN - Bought 1186 (MMAT) @1.3901	1,186	\$1.39		(\$1,648.66)
11/9/2022	Buy	MMAT	TDA TRAN - Bought ...100 (MMAT) @1.3950	100	\$1.40		(\$139.50)
11/9/2022	Buy	MMAT	TDA TRAN - Bought 20142 (MMAT) @1.4000	20,142	\$1.40		(\$28,198.80)
11/9/2022	Buy	MMAT	TDA TRAN - Bought 2342 (MMAT) @1.3983	2,342	\$1.40		(\$3,274.82)
11/10/2022	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @1.3201	5,000	\$1.32	\$0.80	\$6,599.70
11/11/2022	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @1.3101	5,000	\$1.31	\$0.80	\$6,549.70
11/11/2022	Sell	MMAT	TDA TRAN - Sold 10000 (MMAT) @1.2800	10,000	\$1.28	\$1.59	\$12,798.41
11/14/2022	Sell	MMAT	TDA TRAN - Sold 1953 (MMAT) @1.6901	1,953	\$1.69	\$0.33	\$3,300.44
11/14/2022	Buy	MMAT	TDA TRAN - Bought ...179 (MMAT) @1.6700	179	\$1.67		(\$298.93)
11/14/2022	Sell	MMAT	TDA TRAN - Sold 1226 (MMAT) @1.6312	1,226	\$1.63	\$0.21	\$1,999.64
11/15/2022	Sell	MMAT	TDA TRAN - Sold 2000 (MMAT) @1.8808	2,000	\$1.88	\$0.35	\$3,761.25
11/17/2022	Buy	MMAT	TDA TRAN - Bought 1636 (MMAT) @1.6799	1,636	\$1.68		(\$2,748.32)
11/21/2022	Sell	MMAT	TDA TRAN - Sold 1636 (MMAT) @1.9312	1,636	\$1.93	\$0.28	\$3,159.16
1/30/2023	Buy	MMAT	TDA TRAN - Bought 5066 (MMAT) @0.9868	5,066	\$0.99		(\$4,999.12)
1/31/2023	Buy	MMAT	TDA TRAN - Bought ...246 (MMAT) @1.0000	246	\$1.00		(\$246.00)
1/31/2023	Buy	MMAT	TDA TRAN - Bought ...990 (MMAT) @1.0099	990	\$1.01		(\$999.80)
2/1/2023	Buy	MMAT	TDA TRAN - Bought 91 (MMAT) @0.9819	91	\$0.98		(\$89.35)
2/1/2023	Buy	MMAT	TDA TRAN - Bought ...509 (MMAT) @0.9819	509	\$0.98		(\$499.79)
2/1/2023	Buy	MMAT	TDA TRAN - Bought 98 (MMAT) @0.9883	98	\$0.99		(\$96.85)
2/2/2023	Sell	MMAT	TDA TRAN - Sold ...500 (MMAT) @1.0501	500	\$1.05	\$0.08	\$524.97
2/2/2023	Sell	MMAT	TDA TRAN - Sold ...500 (MMAT) @1.0301	500	\$1.03	\$0.08	\$514.97
2/3/2023	Sell	MMAT	TDA TRAN - Sold 6000 (MMAT) @1.0203	6,000	\$1.02	\$1.01	\$6,120.79
2/16/2023	Buy	MMAT	TDA TRAN - Bought 1429 (MMAT) @0.7505	1,429	\$0.75		(\$1,072.46)
2/16/2023	Buy	MMAT	TDA TRAN - Bought 3571 (MMAT) @0.7559	3,571	\$0.76		(\$2,699.32)
2/17/2023	Buy	MMAT	TDA TRAN - Bought 1000 (MMAT) @0.6998	1,000	\$0.70		(\$699.80)
2/21/2023	Sell	MMAI	TDA TRAN - Sold 6000 (MMAI) @0.6822	6,000	\$0.68	\$0.96	\$4,092.24
4/14/2023	Buy	MMAT	TDA TRAN - Bought 1602 (MMAT) @0.2277	1,602	\$0.23		(\$364.78)

5/5/2023	Buy	MMAT	TDA TRAN - Bought 2510 (MMAT) @0.2084	2,510	\$0.21	(\$523.08)	
5/5/2023	Buy	MMAT	TDA TRAN - Bought ...335 (MMAT) @0.2088	335	\$0.21	(\$69.95)	
5/5/2023	Buy	MMAT	TDA TRAN - Bought 27000 (MMAT) @0.2092	27,000	\$0.21	(\$5,648.40)	
5/5/2023	Buy	MMAT	TDA TRAN - Bought ...400 (MMAT) @0.2093	400	\$0.21	(\$83.72)	
5/5/2023	Buy	MMAT	TDA TRAN - Bought ...100 (MMAT) @0.2095	100	\$0.21	(\$20.95)	
5/5/2023	Buy	MMAT	TDA TRAN - Bought 2 (MMAT) @0.2097	2	\$0.21	(\$0.42)	
5/5/2023	Buy	MMAT	TDA TRAN - Bought 5 (MMAT) @0.2098	5	\$0.21	(\$1.05)	
5/5/2023	Buy	MMAT	TDA TRAN - Bought ...450 (MMAT) @0.2099	450	\$0.21	(\$94.46)	
5/5/2023	Buy	MMAT	TDA TRAN - Bought 17517 (MMAT) @0.2100	17,517	\$0.21	(\$3,678.57)	
5/8/2023	Buy	MMAT	TDA TRAN - Bought 10239 (MMAT) @0.2215	10,239	\$0.22	(\$2,267.94)	
5/8/2023	Buy	MMAT	TDA TRAN - Bought 12558 (MMAT) @0.2150	12,558	\$0.22	(\$2,699.97)	
5/9/2023	Buy	MMAT	TDA TRAN - Bought ...100 (MMAT) @0.2196	100	\$0.22	(\$21.96)	
5/9/2023	Buy	MMAT	TDA TRAN - Bought 4466 (MMAT) @0.2198	4,466	\$0.22	(\$981.63)	
5/9/2023	Buy	MMAT	TDA TRAN - Bought 3257 (MMAT) @0.2193	3,257	\$0.22	(\$714.26)	
5/9/2023	Buy	MMAT	TDA TRAN - Bought 1300 (MMAT) @0.2194	1,300	\$0.22	(\$285.22)	
5/11/2023	Buy	MMAT	TDA TRAN - Bought 1901 (MMAT) @0.2103	1,901	\$0.21	(\$399.78)	
5/11/2023	Buy	MMAT	TDA TRAN - Bought 3791 (MMAT) @0.2110	3,791	\$0.21	(\$799.90)	
5/11/2023	Sell	MMAT	TDA TRAN - Sold 1895 (MMAT) @0.2111	1,895	\$0.21	\$0.27	\$399.77
5/12/2023	Buy	MMAT	TDA TRAN - Bought 1418 (MMAT) @0.2010	1,418	\$0.20		(\$285.02)
5/12/2023	Buy	MMAT	TDA TRAN - Bought ...399 (MMAT) @0.2001	399	\$0.20		(\$79.84)
5/12/2023	Buy	MMAT	TDA TRAN - Bought ...498 (MMAT) @0.2005	498	\$0.20		(\$99.85)
5/12/2023	Buy	MMAT	TDA TRAN - Bought ...488 (MMAT) @0.2045	488	\$0.20		(\$99.80)
5/12/2023	Buy	MMAT	TDA TRAN - Bought ...487 (MMAT) @0.2052	487	\$0.21		(\$99.93)
5/17/2023	Sell	MMAT	TDA TRAN - Sold 8705 (MMAT) @0.2245	8,705	\$0.22	\$1.28	\$1,952.99
5/19/2023	Buy	MMAT	TDA TRAN - Bought 5000 (MMAT) @0.2410	5,000	\$0.24		(\$1,205.00)
5/19/2023	Buy	MMAT	TDA TRAN - Bought 2147 (MMAT) @0.2415	2,147	\$0.24		(\$518.50)
5/19/2023	Sell	MMAT	TDA TRAN - Sold 2370 (MMAT) @0.2322	2,370	\$0.23	\$0.34	\$549.97
5/22/2023	Buy	MMAT	TDA TRAN - Bought 5000 (MMAT) @0.2625	5,000	\$0.26		(\$1,312.50)
5/23/2023	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @0.2790	5,000	\$0.28	\$0.74	\$1,394.26
5/24/2023	Buy	MMA1	TDA TRAN - Bought ...938 (MMA1) @0.2503	938	\$0.25		(\$234.78)
5/26/2023	Sell	MMAT	TDA TRAN - Sold 5938 (MMAT) @0.2360	5,938	\$0.24	\$0.87	\$1,400.50

5/30/2023	Sell	MMAT	TDA TRAN - Sold 1734 (MMAT) @0.2508	1,734	\$0.25	\$0.25	\$434.64
5/30/2023	Sell	MMAT	TDA TRAN - Sold 3266 (MMAT) @0.2530	3,266	\$0.25	\$0.48	\$825.82
6/2/2023	Sell	MMAT	TDA TRAN - Sold 12000 (MMAT) @0.2100	12,000	\$0.21	\$1.76	\$2,518.24
6/12/2023	Buy	MMAT	TDA TRAN - Bought ...546 (MMAT) @0.2244	546	\$0.22		(\$122.50)
6/12/2023	Buy	MMAT	TDA TRAN - Bought ...171 (MMAT) @0.2269	171	\$0.23		(\$38.79)
6/12/2023	Buy	MMAT	TDA TRAN - Bought 1839 (MMAT) @0.2278	1,839	\$0.23		(\$418.92)
6/12/2023	Buy	MMAT	TDA TRAN - Bought 1809 (MMAT) @0.2289	1,809	\$0.23		(\$414.08)
6/12/2023	Buy	MMAT	TDA TRAN - Bought 1831 (MMAT) @0.2320	1,831	\$0.23		(\$424.79)
6/12/2023	Buy	MMAT	TDA TRAN - Bought 1808 (MMAT) @0.2340	1,808	\$0.23		(\$423.07)
6/12/2023	Buy	MMAT	TDA TRAN - Bought ...364 (MMAT) @0.2334	364	\$0.23		(\$84.96)
6/12/2023	Buy	MMAT	TDA TRAN - Bought ...318 (MMAT) @0.2353	318	\$0.24		(\$74.83)

6/12/2023	Buy	MMAT	TDA TRAN - Bought ...363 (MMAT) @0.2369	363	\$0.24		(\$85.99)
7/3/2023	Buy	MMAT	TDA TRAN - Bought 2777 (MMAT) @0.1980	2,777	\$0.20		(\$549.85)
7/5/2023	Sell	MMAT	TDA TRAN - Sold 4826 (MMAT) @0.2025	4,826	\$0.20	\$0.71	\$976.56
7/10/2023	Sell	MMAT	TDA TRAN - Sold 25000 (MMAT) @0.1909	25,000	\$0.19	\$3.67	\$4,768.83
7/10/2023	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @0.1919	5,000	\$0.19	\$0.74	\$958.76
7/10/2023	Sell	MMAT	TDA TRAN - Sold 1000 (MMAT) @0.1902	1,000	\$0.19	\$0.15	\$190.05
7/10/2023	Sell	MMAT	TDA TRAN - Sold 4000 (MMAT) @0.1904	4,000	\$0.19	\$0.59	\$761.01
7/11/2023	Sell	MMAT	TDA TRAN - Sold 6645 (MMAT) @0.1886	6,645	\$0.19	\$0.97	\$1,252.28
7/11/2023	Sell	MMAT	TDA TRAN - Sold 1500 (MMAT) @0.1887	1,500	\$0.19	\$0.22	\$282.83
7/11/2023	Sell	MMAT	TDA TRAN - Sold 50 (MMAT) @0.1899	50	\$0.19	\$0.01	\$9.49
7/11/2023	Sell	MMAT	TDA TRAN - Sold 2277 (MMAT) @0.1899	2,277	\$0.19	\$0.33	\$432.08
7/11/2023	Sell	MMAT	TDA TRAN - Sold 2673 (MMAT) @0.1889	2,673	\$0.19	\$0.39	\$504.54
7/11/2023	Sell	MMAT	TDA TRAN - Sold 6855 (MMAT) @0.1886	6,855	\$0.19	\$1.00	\$1,291.85
7/11/2023	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @0.1881	5,000	\$0.19	\$0.74	\$939.77
7/12/2023	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @0.1901	5,000	\$0.19	\$0.74	\$949.76
7/12/2023	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @0.1880	5,000	\$0.19	\$0.74	\$939.26
7/20/2023	Buy	MMAT	TDA TRAN - Bought 2813 (MMAT) @0.2275	2,813	\$0.23		(\$639.96)
7/20/2023	Buy	MMAT	TDA TRAN - Bought 21195 (MMAT) @0.2357	21,195	\$0.24		(\$4,995.66)
7/21/2023	Sell	MMAT	TDA TRAN - Sold 2008 (MMAT) @0.2192	2,008	\$0.22	\$0.29	\$439.86
7/21/2023	Sell	MMAT	TDA TRAN - Sold 7800 (MMAT) @0.2192	7,800	\$0.22	\$1.14	\$1,708.62
7/21/2023	Sell	MMAT	TDA TRAN - Sold 1400 (MMAT) @0.2193	1,400	\$0.22	\$0.21	\$306.81
7/21/2023	Sell	MMAT	TDA TRAN - Sold 2800 (MMAT) @0.2194	2,800	\$0.22	\$0.41	\$613.91
7/24/2023	Sell	MMAT	TDA TRAN - Sold ...500 (MMAT) @0.2147	500	\$0.21	\$0.07	\$107.28
7/24/2023	Sell	MMAT	TDA TRAN - Sold 4500 (MMAT) @0.2141	4,500	\$0.21	\$0.66	\$962.79
7/25/2023	Sell	MMAT	TDA TRAN - Sold 5000 (MMAT) @0.2070	5,000	\$0.21	\$0.74	\$1,034.26
7/31/2023	Sell	MMAT	TDA TRAN - Sold 1583 (MMAT) @0.2511	1,583	\$0.25	\$0.23	\$397.26
7/31/2023	Buy	MMAT	TDA TRAN - Bought 1583 (MMAT) @0.2697	1,583	\$0.27		(\$426.94)
9/19/2023	Buy	MMAT	TDA TRAN - Bought 2500 (MMAT) @0.2025	2,500	\$0.20		(\$506.25)
9/25/2023	Sell	MMAT	TDA TRAN - Sold ...613 (MMAT) @0.2037	613	\$0.20	\$0.09	\$124.78
9/25/2023	Sell	MMA1	TDA TRAN - Sold 12/3 (MMA1) @0.212/	1,273	\$0.21	\$0.18	\$270.59
9/25/2023	Sell	MMAT	TDA TRAN - Sold ...614 (MMAT) @0.2027	614	\$0.20	\$0.09	\$124.37

Ameritrade Member FINRA/SIPC	Tax Information Account 275324363	Statement Date 02/02/2023 Document ID 73U8 PQ5 77UR	2022
PO BOX 2209 OMAHA NE 68103-2209 Client Services 800-669-3900	RAYMOND R CALUPITAN 3643 MALLORY PL WILLIAMSBURG, VA 23188-9425		
PAYER'S TIN 47-0533629	RECIPIENT'S TIN XXX-XX-3544		

11 <input type="checkbox"/> FATCA filing requirement (see Instructions)		13 <input type="checkbox"/> FATCA filing requirement (see Instructions)	
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV*		MISCELLANEOUS INFORMATION 2022 1099-MISC*	
		OMB No 1545 0110 OMB No 1545-0115	
1a- Total ordinary dividends (includes lines 1b 5 2e)	0 00	2- Royalties	0 00
1b- Qualified dividends	0 00	3- Other income	0 00
2a- Total capital gain distributions (includes lines 2b 2c 2d, 2f)	0 00	4- Federal income tax withheld	0 00
2b- Unrecaptured Section 1250 gain	0 00	8- Substitute payments in lieu of dividends or interest	0 00
2c- Section 1202 gain	0 00		
2d- Collectibles (28%) gain	0 00		
2e- Section 897 ordinary dividends	0 00		
2f- Section 897 capital gain	0 00		
3- Nondividend distributions	0 00		
4- Federal income tax withheld	0 00		
5- Section 199A dividends	0 00		
6- Investment expenses	0 00		
8- Foreign country or US possession	0 00	8- Profit or (loss) realized in 2022 on closed contracts	0 00
7- Foreign tax paid	0 00	9- Unrealized profit or (loss) on open contracts-12/31/2021	0 00
9- Cash liquidation distributions	0 00	10- Unrealized profit or (loss) on open contracts-12/31/2022	0 00
10- Noncash liquidation distributions	0 00	11- Aggregate profit or (loss) on contracts	0 00
12- Exempt-interest dividends (includes line 13)	0 00		
13- Specified private activity bond interest dividends (AMT)	0 00		

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099 B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	629 100 47	655 264 66	0 00	58 744 72	32 580 53
Short	B (basis not reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Short	C (Form 1099-B not received)	0 00	0 00	0 00	0 00	0 00
	Total Short-term	629,100 47	655,264 66	0 00	58,744 72	32,580 53
Long	D (basis reported to the IRS)	1 405 48	333 46	0 00	0 00	1 072 02
Long	E (basis not reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Long	F (Form 1099-B not received)	0 00	0 00	0 00	0 00	0 00
	Total Long-term	1,405 48	333 46	0 00	0 00	1,072 02
Undetermined	B or E (basis not reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Undetermined	C or F (Form 1099-B not received)	0 00	0 00	0 00	0 00	0 00
	Total Undetermined-term	0 00	0 00	0 00	0 00	0 00
	Grand total	630,505 95	655,598 12	0 00	58,744 72	33,652 55
Withholding			Amount			
Federal income tax withheld			0 00			

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

TD Ameritrade Clearing, Inc
2022

Summary Information
(continued)

Account 275324363

02/02/2023

INTEREST INCOME**2022 1099-INT**

OMB No 1545 0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	3 28
2- Early withdrawal penalty	0 00
3- Interest on US Savings Bonds & Treasury obligations	0 00
4- Federal income tax withheld	0 00
5- Investment expenses	0 00
7- Foreign country or US possession	6- Foreign tax paid
8- Tax-exempt interest (includes line 9)	0 00
9- Specified private activity bond interest (AMT)	0 00
10- Market discount (covered lots)	0 00
11- Bond premium (covered lots)	0 00
12- Bond premium on Treasury obligations (covered lots)	0 00
13- Bond premium on tax-exempt bonds (categorized below)	0 00
Tax-exempt obligations (covered lots)	0 00
Tax-exempt private activity obligations (AMT covered lots)	0 00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s)

Taxable accrued interest paid	0 00
Taxable accrued Treasury interest paid	0 00
Tax-exempt accrued interest paid	0 00
Tax-exempt accrued interest paid (AMT)	0 00
Taxable accrued nonqualified interest paid	0 00
Tax-exempt accrued nonqualified interest paid	0 00
Tax-exempt accrued nonqualified interest paid (AMT)	0 00
Nonqualified interest	0 00
Tax-exempt nonqualified interest	0 00
Tax-exempt nonqualified interest (AMT)	0 00
Interest shortfall on contingent payment debt	0 00
Bond premium- Non Treasury obligations (noncovered lots)	0 00
Bond premium- Treasury obligations (noncovered lots)	0 00
Bond premium- Tax-exempt obligations (noncovered lots)	0 00
Bond premium- Tax-exempt obligations (AMT noncovered lots)	0 00
Market discount (noncovered lots)	0 00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0 00
1099-INT total withheld	0 00
1099-OID total withheld	0 00
1099-MISC total withheld	0 00
1099-B total withheld	0 00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0 00
Acquisition premium (covered lots)	0 00
Acquisition premium (noncovered lots)	0 00
Original issue discount on Treasury obligations	0 00
Acquisition premium Treasury obligations (covered lots)	0 00
Acquisition premium Treasury obligations (noncovered lots)	0 00
Tax-exempt OID	0 00
Tax-exempt OID (lots not reported)	0 00
Acquisition premium (covered)	0 00
Acquisition premium (lots not reported)	0 00
Tax-exempt OID on private activity bonds	0 00
Tax-exempt OID on private activity bonds (lots not reported)	0 00
Acquisition premium (AMT covered)	0 00
Acquisition premium (AMT lots not reported)	0 00
Market discount (all lots)	0 00
Early withdrawal penalty	0 00
Investment expenses	0 00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0 00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0 00
Other Receipts & Reconciliations- Return of principal	0 00
Other Receipts & Reconciliations- Deferred income payment	0 00
Other Receipts & Reconciliations- Deemed premium	0 00
Other Receipts & Reconciliations- Income accrual- UIT	0 00
Other Receipts & Reconciliations- Basis adjustments	0 00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0 00
Fees & Expenses- Margin interest	117 34
Fees & Expenses- Dividends paid on short position	0 00
Fees & Expenses- Interest paid on short position	0 00
Fees & Expenses- Non reportable distribution expense	0 00
Fees & Expenses- Other expenses	0 00
Fees & Expenses- Severance tax	0 00
Fees & Expenses- Organizational expense	0 00
Fees & Expenses- Miscellaneous fees	0 00
Fees & Expenses- Tax-exempt investment expense	0 00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0 00

TD Ameritrade Clearing, Inc

Account 275324363

2022 1099-B* OMB No. 1545-0715

02/02/2023

Proceeds from Broker and Barter Exchange Transactions

Sales transactions are grouped by their term (long short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items a quantity and a qualifier. For example proceeds and if the sale is the result of an option exercise or assignment whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949 Part I with Box A checked Basis is provided to the IRS (Line 12)

Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP 00165C104 / Symbol AMC							
04/07/22	74 000	1 476.59	Various	1 584.80		-108.21	Sale
ALLBIRDS INC COM CL A / CUSIP 01675A109 / Symbol BIRD							
04/21/22	279 000	1 464.73	04/19/22	1 495.41		-30.68	Sale
AMAZON COM INC COM / CUSIP 023135106 / Symbol AMZN							
03/21/22	4 000	12 901.72	Various	12 544.72		357.00	Total of 2 transactions
06/15/22	70 000	7 347.72	06/14/22	7 174.98		172.74	Sale
	Security total	20,249.44		19,719.70		529.74	
AMERIWEST LITHIUM INC 1 1 EXC 9/22/22 03078N100 / CUSIP 03078L104 / Symbol							
01/13/22	260 000	276.42	01/07/22	331.95		-55.53	Sale
APPLE INC COM / CUSIP 037833100 / Symbol AAPL							
04/05/22	56 000	9 854.54	03/31/22	9 979.20		-124.66	Sale
ASSERTIO HOLDINGS INC COM / CUSIP 04546C205 / Symbol ASRT							
04/06/22	2 140 000	6 550.23	04/05/22	6 987.10		-436.87	Sale
AULT ALLIANCE INC COM COM / CUSIP 09175M101 / Symbol AULT							
03/21/22	12 968 000	11 100.04	Various	12 883.84	1 783.80 W	0.00	Total of 2 transactions
04/04/22	26 871 000	19 348.90	Various	24,605.81		-5 256.91	Sale
	Security total	30,448.94		37,489.65	1,783.80 W	-5,256.91	

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TD Ameritrade Clearing, Inc		Account	275324363
		Proceeds from Broker and Barter Exchange Transactions	
2022	1099-B* OMB No 1545-0715	(continued)	02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949 Part I with Box A checked Basis is provided to the IRS (Line 12)

Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY INC 1 50 R/S 12/21/22 13200M607 / CUSIP 13200M508 / Symbol							
03/16/22	7 889 000	6 118 67	Various	6 142 38		-23 71	Total of 5 transactions
CYTODYN INC COM / CUSIP 23283M101 / Symbol CYDY							
07/28/22	3 601 000	2 434 00	07/26/22	2 763 52		-329 52	Sale
DARKPULSE INC COM / CUSIP 237245105 / Symbol DPLS							
03/16/22	97 400 000	6 149 16	03/15/22	6 138 94		10 22	Sale
03/16/22	223 000	0 29	Various	14 05	13 76 W	0 00	Total of 2 transactions
03/17/22	200 000 000	14 766 48	03/15/22	12 554 58	11 07 W	2 222 97	Sale
03/18/22	15 500 000	1 083 77	03/18/22	1 124 72		-40 95	Sale
	Security total	21,999 70		19,832 29	24 83 W	2,192 24	
MEDICAN ENTERPRISES INC COM / CUSIP 58464T202 / Symbol MDCN							
01/07/22	2 690 000 000	262 05	12/13/21	551 90		-289 85	Sale
META MATERIALS INC COM / CUSIP 59134N104 / Symbol MMAT							
01/28/22	2 162 000	3,199 48	01/27/22	3 307 64	86 75 W	-21 41	Sale
02/04/22	1 941 000	3 299 45	01/27/22	2 969 54		329 91	Sale
02/07/22	1 985 000	3 374 44	Various	3 467 97		-93 53	Sale
04/05/22	7,230 000	12,437 49	Various	12 652 50	205 79 W	-9 22	Sale
05/23/22	160 000	299 23	04/19/22	231 16		68 07	Sale
05/31/22	323 000	607 22	04/19/22	466 65		140 57	Sale
06/13/22	5 000 000	7 124 43	Various	6 819 53	89 65 W	394 55	Total of 2 transactions
06/14/22	2 500 000	3 624 84	Various	3 682 45	361 11 W	303 50	Sale
07/11/22	1,621 000	1 623 83	Various	2 819 16	1 195 33 W	0 00	Sale
08/08/22	3 695 000	3 428 40	Various	7 285 44	3 857 04 W	0 00	Sale
08/15/22	2 500 000	2 391 87	Various	3 887 06	1 495 19 W	0 00	Sale
08/16/22	2 500 000	2 332 37	Various	2 432 14	118 93 W	19 16	Sale
09/06/22	2 280 000	1 838 71	07/15/22	2 108 77	270 06 W	0 00	Sale
09/23/22	744 000	510 50	08/25/22	709 58		-199 08	Sale
09/23/22	9 256 000	6 351 97	Various	12 442 26	4 345 11 W	-1 745 18	Sale
10/21/22	454 000	412 84	10/20/22	485 16	72 32 W	0 00	Sale
10/24/22	5,605 000	4 944 33	Various	9 236 43	4 292 10 W	0 00	Total of 4 transactions
11/01/22	13 600 000	15 133 74	Various	14 947 76		185 98	Total of 3 transactions
11/01/22	18 167 000	20 179 63	Various	28 669 29	8 499 26 W	9 60	Total of 5 transactions
11/10/22	5 000 000	6 599 70	11/09/22	8 767 71	2,168 01 W	0 00	Sale

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TD Ameritrade Clearing, Inc		Account 275324363
Proceeds from Broker and Barter Exchange Transactions		
2022 ~1099-B* OMB No 1545-0715	(continued)	02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949 Part I with Box A checked Basis is provided to the IRS (Line 12)

Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC COM / CUSIP 59134N104 / Symbol MMAT (cont d)						
11/11/22	5 000 000	6 549 70	11/09/22	9 439 19		-2 889 49 Sale
11/11/22	10 000 000	12 798 41	11/09/22	14 000 00	201 03 W	-1 000 56 Sale
11/14/22	3 179 000	5 300 08	Various	6 478 89		-1 178 81 Total of 2 transactions
11/15/22	2,000 000	3 761 25	Various	3,003 96		757 29 Sale
11/21/22	1 636 000	3 159 16	11/17/22	2 944 90		214 26 Sale
	Security total	131,283 07		163,255 14	27,257 68 W	-4,714 39
META MATERIALS INC 11 EXC 12/13/22 RESEARCHING / CUSIP 59134N203 / Symbol MMTLP						
01/14/22	7 000 000	9 442 17	Various	12,411 40	3,206 84 W	237 61 Sale
02/14/22	6,267 000	7,951 35	Various	12 327 98	4 376 63 W	0 00 Sale
03/10/22	6,785 000	11 814 18	Various	13 603 17	2,004 57 W	215 58 Total of 3 transactions
03/14/22	2,165 000	3 633 27	Various	4 267 17	767 11 W	133 21 Total of 2 transactions
03/15/22	1 840 000	3 102 39	Various	3 220 25	295 91 W	178 05 Sale
03/16/22	2 500 000	4 042 70	02/15/22	4,080 28	115 18 W	77 60 Sale
03/17/22	7 000 000	11 020 12	Various	13,336 53	2 488 97 W	172 56 Total of 2 transactions
03/18/22	7 127 000	10 893 43	Various	13 434 87	2 541 44 W	0 00 Total of 2 transactions
03/21/22	3 918 000	5,791 15	03/21/22	7 643 15	1 692 63 W	-159 37 Sale
04/07/22	5 156 000	6 437 35	Various	8 768 66	2 331 31 W	0 00 Sale
05/20/22	200 000	241 01	04/21/22	232 15		8 86 Sale
05/20/22	1,994 000	2,465 29	04/21/22	2 604 32	243 49 W	104 46 Sale
05/25/22	2 700 000	3 421 62	Various	4,348 40	994 71 W	67 93 Sale
07/05/22	829 000	1 344 18	04/28/22	1 614 04	269 86 W	0 00 Sale
07/15/22	4 000 000	7 512 36	Various	6 715 59	68 47 W	865 24 Sale
07/26/22	3 000 000	4 155 62	Various	3 814 50		341 12 Total of 2 transactions
11/09/22	4 922 000	34 938 07	Various	7 237 02		27 701 05 Sale
11/14/22	8 314 000	66 336 17	Various	44 086 46		22 249 71 Sale
11/17/22	322 000	2,746 05	10/31/22	2 206 00		540 05 Sale
	Security total	197,288 48		165,951 94	21,397 12 W	52,733 66
MULLEN AUTOMOTIVE INC COM / CUSIP 62526P109 / Symbol MULN						
03/15/22	8 335 000	15 585 29	03/14/22	16 002 91	905 99 W	488 37 Sale
03/17/22	2 747 000	7 116 86	Various	5 067 05		2 049 81 Total of 2 transactions
03/18/22	8 987 000	23 632 65	Various	19 942 92		3 689 73 Total of 3 transactions
03/22/22	7 055 000	21 024 99	Various	18 100 18	126 03 W	3 050 84 Sale
03/28/22	7,073 000	18 320 89	Various	21 843 45	2 383 85 W	-1 138 71 Sale

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TD Ameritrade Clearing, Inc	Account 275324363
2022 1099-B* OMB No 1545-0715	02/02/2023
Proceeds from Broker and Barter Exchange Transactions (continued)	

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949 Part I with Box A checked Basis is provided to the IRS (Line 12)

Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MULLEN AUTOMOTIVE INC COM / CUSIP 62526P109 / Symbol MULN (cont'd)							
05/05/22	3 362 000	4 236 00	Various	8 364 61	439 68 W	-3 688 93	Sale
06/02/22	417 000	529 91	06/01/22	1 044 33		-514 42	Sale
10/25/22	3,970 000	2 182 93	10/25/22	2 411 38		-228 45	Sale
	Security total	92,629 52		92,776 83	3,855 55 W	3,708 24	
NIO INC ADR / CUSIP 62914V106 / Symbol NIO							
03/18/22	116 000	2 343 17	03/18/22	2 360 60		-17 43	Sale
06/17/22	193 000	3 904 27	06/14/22	3 616 80		287 47	Sale
	Security total	6,247 44		5,977 40		270 04	
OZOP ENERGY SOLUTIONS INC COM / CUSIP 692732209 / Symbol OZSC							
03/14/22	528 878 000	12 376 91	Various	11 814 56		562 35	Total of 4 transactions
PLUG POWER INC COM / CUSIP 72919P202 / Symbol PLUG							
09/27/22	303 000	6 644 87	09/23/22	6 841 26		-196 39	Sale
PUGET TECHNOLOGIES INC COM / CUSIP 74535X106 / Symbol PUGE							
04/21/22	2 500 000 000	3 986 54	04/07/22	8 013 90		-4 027 36	Sale
SKILLZ INC COM / CUSIP 83067L109 / Symbol SKLZ							
02/02/22	752 000	3 293 65	01/28/22	3 195 02		98 63	Sale
SUNDIAL GROWERS INC 1 10 R/S 7/26/22 83307B101 / CUSIP 86730L109 / Symbol							
01/27/22	14 917 000	6 661 61	01/26/22	7,272 15		-610 54	Sale
TESLA INC COM / CUSIP 88160R101 / Symbol TSLA							
03/23/22	4 000	4 124 38	03/22/22	3 770 40		353 98	Sale
03/25/22	2 000	2 024 09	03/22/22	1 885 20		138 89	Sale
04/12/22	10 000	10 046 78	03/22/22	9,426 00		620 78	Sale
04/18/22	11 000	11 071 00	Various	10 928 66	240 79 W	383 13	Sale
04/28/22	19 000	15 961 58	Various	20 748 12		-4 786 54	Sale
	Security total	43,227 83		46,758 38	240 79 W	-3,289 76	

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TD Ameritrade Clearing, Inc		Account 275324363
Proceeds from Broker and Barter Exchange Transactions		
2022	1099-B* OMB No 1545-0715	(continued) 02/02/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949 Part I with Box A checked Basis is provided to the IRS (Line 12)

"Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TONNER ONE WORLD HOLDINGS INC COM / CUSIP 890307101 / Symbol TONR							
01/26/22	1 047 130 000	4,280 31	01/14/22	6 447 03		-2,166 72	Sale
01/26/22	700 722 000	3 000 18	01/14/22	3 717 26	340 53 W	-376 55	Sale
	Security total	7,280 49		10,164 29	340 53 W	-2,543 27	
TWITTER INC CSH MRG \$54 20/SH 10/28/22 / CUSIP 90184L102 / Symbol TWTR							
04/06/22	294 000	14 538 19	Various	14 476 15		62 04	Sale
UBIQUITECH SOFTWARE CORP COM / CUSIP 903470102 / Symbol UBUQ							
01/07/22	266,666 000	67 10	12/16/21	86 95		-19 85	Sale
MAGIC EMPIRE GLOBAL LTD COM / CUSIP G5865E105 / Symbol MEGL							
08/25/22	221 000	1 889 48	Various	6 558 38	3 844 42 W	-824 48	Sale
09/06/22	100 000	549 98	08/16/22	5 244 41		-4 694 43	Sale
	Security total	2,439 46		11,802 79	3,844 42 W	-5,518 91	
Totals		629,100 47		655,264 66	58,744 72 W	32,580 53	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949 Part II with Box D checked Basis is provided to the IRS (Line 12)

"Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss() & 7- Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC 1 1 EXC 12/13/22 RESEARCHING / CUSIP 59134N203 / Symbol MMTLP							
11/09/22	198 000	1 405 48	Various	333 46		1 072 02	Sale
Totals		1,405 48		333 46		1,072 02	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

2022

Detail for Interest Income

Account 275324363

02/02/2023

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		03/31/22	0 01	Credit interest	
		10/31/22	1 26	Credit interest	
		11/30/22	2 00	Credit interest	
		12/30/22	0 01	Credit interest	
			3 28	Total Interest	
			3 28	Total Interest	

TD Ameritrade Clearing, Inc

Account 275324363

2022

02/02/2023

Fees and Expenses

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor the IRS or your state tax authority regarding the proper treatment

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations Fees Expenses and Expenditures found in the Summary Information at the beginning of the statement

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		03/31/22	-3 09	Margin interest paid	
		04/29/22	-105 01	Margin interest paid	
		05/31/22	-3 31	Margin interest paid	
		06/30/22	-2 04	Margin interest paid	
		07/29/22	-0 02	Margin interest paid	
		11/30/22	-3 87	Margin interest paid	
			-117 34	Total Margin interest paid	
			-117 34	Total Margin Interest paid	

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN) For your protection this form may show only the last four digits of your TIN (social security number (SSN) individual taxpayer identification number (ITIN) adoption taxpayer identification number (ATIN) or employer identification number (EIN)) However the issuer has reported your complete TIN to the IRS

Account number May show an account or other unique number the payer assigned to distinguish your account

Backup Withholding Shows backup withholding Generally a payer must backup withhold if you did not furnish your taxpayer identification number See Form W 9 and Pub 505 for more information Report this amount on your income tax return as tax withheld

Nominees If this 1099 form includes amounts belonging to another person you are considered a nominee recipient You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner A spouse is not required to file a nominee return to show amounts owned by the other spouse See the General Instructions for Certain Information Returns

FATCA filing requirement If the FATCA filing requirement box is checked the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement You also may have a filing requirement See the Instructions for Form 8938

Keep tax documents for your records**1099 INT instructions for Recipient**

The information provided may be different for covered and noncovered securities For a description of covered securities see the Instructions for Form 8949 For a taxable covered security acquired at a premium unless you notified the payer in writing in accordance with Regulations section 1 6045-1(n)(5) that you did not want to amortize the premium under section 171 or for a tax-exempt covered security acquired at a premium your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s) or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s) If you did not notify your payer that you did not want to amortize the premium on a taxable covered security then your payer will only report the gross amount of interest paid to you For a noncovered security acquired at a premium your payer is only required to report the gross amount of interest paid to you

Line 1 Shows taxable interest paid to you during the calendar year by the payer This does not include interest shown in line 3 May also show the total amount of the credits from clean renewable energy bonds new clean renewable energy bonds qualified energy conservation bonds qualified zone academy bonds qualified school construction bonds and build America bonds that must be included in your interest income These amounts were treated as paid to you during 2022 on the credit allowance dates (March 15 June 15 September 15 and December 15) For more information see Form 8912 See the instructions above for a taxable covered security acquired at a premium

Line 2 Shows interest or principal forfeited because of early withdrawal of time savings You may deduct this amount to figure your adjusted gross income on your income tax return See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction

Line 3 Shows interest on U S Savings Bonds Treasury bills Treasury bonds and Treasury notes This may or may not be taxable See Pub 550 This interest is exempt from state and local income taxes This interest is not included in line 1 See the instructions above for a taxable covered security acquired at a premium

Line 4 Shows backup withholding Generally a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer See Form W 9 Include this amount on your income tax return as tax withheld

Line 5 Any amount shown is your share of investment expenses of a singleclass REMIC This amount is included in line 1 Note This amount is not deductible

Line 6 Shows foreign tax paid You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR See your tax return instructions

Line 7 Shows the country or U S possession to which the foreign tax was paid

Line 8 Shows tax-exempt interest paid to you during the calendar year by the payer See how to report this amount in the Instructions for Forms 1040 and 1040-SR This amount may be subject to backup withholding See Line 4 above See the instructions above for a tax-exempt covered security acquired at a premium

Line 9 Shows tax-exempt interest subject to the alternative minimum tax This amount is included in line 8 See the Instructions for Form 6251 See the instructions above for a tax-exempt covered security acquired at a premium

Line 10 For a taxable or tax-exempt covered security if you made an election under section 1278(h) to include market discount in income as it arrives and you notified your

Instructions for Recipient

payer of the election in writing in accordance with Regulations section 1 6045-1(n)(5) shows the market discount that accrued on the debt instrument during the year while held by you unless it was reported on Form 1099-OID For a taxable or tax-exempt covered security acquired on or after January 1 2015 accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1 6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b) Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR Market discount on a tax-exempt security is includable in taxable income as interest income

Line 11 For a taxable covered security (other than a U S Treasury obligation) shows the amount of premium amortization allocable to the interest payment(s) unless you notified the payer in writing in accordance with Regulations section 1 6045-1(n)(5) that you did not want to amortize bond premium under section 171 If an amount is reported in this line see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization the payer has reported a net amount of interest in line 1 If the amount in line 11 is greater than the amount of interest paid on the U S Treasury obligation see Regulations section 1 171 2(a)(4)

Line 12 For a U S Treasury obligation that is a covered security shows the amount of premium amortization allocable to the interest payment(s) unless you notified the payer in writing in accordance with Regulations section 1 6045-1(n)(5) that you did not want to amortize bond premium under section 171 If an amount is reported in this line see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U S Treasury obligation If an amount is not reported in this line for a U S Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization the payer has reported a net amount of interest in line 3 If the amount in line 12 is greater than the amount of interest paid on the U S Treasury obligation see Regulations section 1 171 2(a)(4)

Line 13 For a tax-exempt covered security shows the amount of premium amortization allocable to the interest payment(s) If an amount is reported in this line see Pub 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR If an amount is not reported in this line for a tax-exempt covered security acquired at a premium the payer has reported a net amount of interest in line 8 or 9 whichever is applicable If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security the excess is a nondeductible loss See Regulations section 1 171 2(a)(4)(ii)

Line 14 Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid or tax credit bond(s) on which taxable interest was paid or tax credit was allowed to you during the calendar year If blank no CUSIP number was issued for the bond(s)

Lines 15-17 State tax withheld reporting lines

Future developments For the latest information about developments related to Form 1099 INT and its instructions such as legislation enacted after they were published go to www.irs.gov/Form1099INT

Free File Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation e filing and direct deposit or payment options

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases basis for) transactions to you and the IRS on Form 1099 B Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure You may be required to recognize gain from the receipt of cash stock or other property that was exchanged for the corporation's stock If your broker reported this type of transaction to you the corporation is identified in box 1a

CUSIP number Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number

Applicable checkbox on Form 8949 Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR) and which checkbox is applicable See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a Shows a brief description of the item or service for which amounts are being reported For regulated futures contracts and forward contracts RFC or other appropriate description may be shown For Section 1256 option contracts Section 1256 option or other appropriate description may be shown For a corporation that had a reportable change in control or capital structure this box may show the class of stock as C (common) P (preferred) or O (other)

Line 1b This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates For short sales the date shown is the date you acquired the security delivered to close the short sale

Line 1c Shows the trade date of the sale or exchange For short sales the date shown is the date the security was delivered to close the short sale For aggregate reporting in boxes 8 through 11 no entry will be present

Line 1d Shows the cash proceeds reduced by any commissions or transfer taxes related to the sale for transactions involving stocks debt commodities forward contracts non-Section 1256 option contracts or securities futures contracts May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses This box does not include proceeds from regulated futures contracts or Section 1256 option contracts Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e Shows the cost or other basis of securities sold If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1 2014 the basis has been adjusted to reflect your option premium If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1 2014 your broker is permitted but not required to adjust the basis to reflect your option premium If the securities were acquired through the exercise of a compensatory option the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W 2 If box 5 is checked box 1e may be blank See the Instructions for Form 8949 the Instructions for Schedule D (Form 1040 or 1040-SR) or Pub 550 for details

Line 1f Shows the amount of accrued market discount For details on market discount see the Schedule D (Form 1040 or 1040-SR) instructions the Instructions for Form 8949 and Pub 550 If box 5 is checked box 1f may be blank

Line 1g Shows the amount of nondeductible loss in a wash sale transaction For details on wash sales see the Schedule D (Form 1040 or 1040-SR) instructions the Instructions for Form 8949 and Pub 550 If box 5 is checked box 1g may be blank **Line 2** The short term and long term boxes pertain to short term gain or loss and long term gain or loss If the **Ordinary** box is checked your security may be subject to special rules For example gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain See the Instructions for Form 8949 Pub 550 or Pub 1212 for more details on whether there are any special rules or adjustments that might apply to your security If box 5 is checked box 2 may be blank

Line 3 If checked proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF)

Line 4 Shows backup withholding Generally a payer must backup withhold if you did not furnish your TIN to the payer See Form W 9 for information on backup withholding Include this amount on your income tax return as tax withheld

Line 5 If checked the securities sold were noncovered securities and boxes 1b 1e 1f 1g and 2 may be blank Generally a noncovered security means stock purchased before 2011 stock in most mutual funds purchased before 2012 stock purchased or transferred to a dividend reinvestment plan before 2012 debt acquired before 2014 options granted or acquired before 2014 and securities futures contracts entered into before 2014

Line 6 If the exercise of a noncompensatory option resulted in a sale of a security a checked net proceeds box indicates whether the amount in box 1d was adjusted for option premium

Line 7 If checked you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions The broker should advise you of any losses on a separate statement

Line 12 If checked the basis in box 1e has been reported to the IRS and either the short term or the long term gain or loss box in box 2 will be checked If box 12 is checked on Form(s) 1099 B and NO adjustment is required see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR) If the **Ordinary** box in box 2 is checked an adjustment may be required

Line 13 Shows the cash you received the fair market value of any property or services you received and the fair market value of any trade credits or scrip credited to your account by a barter exchange See Pub 525

Lines 14-16 Show state(s)/local income tax information

Future developments For the latest information about any developments related to Form 1099 B and its instructions such as legislation enacted after they were published go to www.irs.gov/Form1099B

Ameritrade Member FINRA/SIPC	Tax Information Account 275324363	Statement Date 01/18/2024 Document ID 73U8 PQ5 77UR	2023
PO BOX 2209 OMAHA NE 68103-2209 Client Services 800-669-3900	RAYMOND R CALUPITAN 3643 MALLORY PL WILLIAMSBURG, VA 23188-9425		
PAYER'S TIN 47-0533629	RECIPIENTS TIN XXX-XX-3544		

11-11 FATCA filing requirement (see Instructions)

Summary Information

13. **13.1 FATCA filing requirement (see Instructions)**

DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV

OMB No. 1545-0110

MISCELLANEOUS INFORMATION

2023 1099-MISC* QMB-N- 1545 0116

2- Royalties

3- Other income

4- Federal income tax withheld

8- Substitute payments in lieu of dividends or interest

SECTION 1256 CONTRACTS

2023 1099-B*

OMB No. 1545-0715

8- Profit or (loss) realized in 2023 on closed contracts

9- Unrealized profit or (loss) on open contracts-12/31/2022

10- Unrealized profit or (loss) on open contracts-12/31/2023

11- Aggregate profit or (loss) on contracts 0 00

¹⁵ See also the discussion of the relationship between the *laissez-faire* and *liberal* political cultures in the United States in the following section.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss (-)
Short	A (basis reported to the IRS)	166 159 59	193 047 29	0 00	18 040 88	-8 846 82
Short	B (basis not reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Short	C (Form 1099-B not received)	0 00	0 00	0 00	0 00	0 00
	Total Short-term	166,159 59	193,047 29	0 00	18,040 88	-8,846 82
Long	D (basis reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Long	E (basis not reported to the IRS)	0 00	0 00	0 00	0 00	0 00
Long	F (Form 1099-B not received)	0 00	0 00	0 00	0 00	0 00
	Total Long-term	0 00	0 00	0 00	0 00	0 00
Undetermined	B or E (basis not reported to the IRS)	12 61	0 00	0 00	0 00	0 00
Undetermined	C or F (Form 1099-B not received)	0 00	0 00	0 00	0 00	0 00
	Total Undetermined-term	12 61	0 00	0 00	0 00	0 00
	Grand total	166,172 20	193,047 29	0 00	18,040 88	-8,846 82
Withholding			Amount			
Federal income tax withheld			0 00			

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

TD Ameritrade Clearing, Inc

2023

Summary information

(continued)

Account 275324363

01/18/2024

INTEREST INCOME**2023 1099-INT**

OMB No 1545 0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	1 53	
2- Early withdrawal penalty	0 00	
3- Interest on US Savings Bonds & Treasury obligations	0 00	
4- Federal income tax withheld	0 00	
5- Investment expenses	0 00	
7- Foreign country or US possession	6- Foreign tax paid	0 00
8- Tax-exempt interest (includes line 9)	0 00	
9- Specified private activity bond interest (AMT)	0 00	
10- Market discount (covered lots)	0 00	
11- Bond premium (covered lots)	0 00	
12- Bond premium on Treasury obligations (covered lots)	0 00	
13- Bond premium on tax-exempt bonds (categorized below)	0 00	
<i>Tax-exempt obligations (covered lots)</i>	0 00	
<i>Tax-exempt private activity obligations (AMT covered lots)</i>	0 00	
14- Tax-exempt and tax credit bond CUSIP number	See detail	
FATCA filing requirement []		

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0 00
Taxable accrued Treasury interest paid	0 00
Tax-exempt accrued interest paid	0 00
Tax-exempt accrued interest paid (AMT)	0 00
Taxable accrued nonqualified interest paid	0 00
Tax-exempt accrued nonqualified interest paid	0 00
Tax-exempt accrued nonqualified interest paid (AMT)	0 00
Nonqualified interest	0 00
Tax-exempt nonqualified interest	0 00
Tax-exempt nonqualified interest (AMT)	0 00
Interest shortfall on contingent payment debt	0 00
Bond premium- Non Treasury obligations (noncovered lots)	0 00
Bond premium- Treasury obligations (noncovered lots)	0 00
Bond premium- Tax-exempt obligations (noncovered lots)	0 00
Bond premium- Tax-exempt obligations (AMT noncovered lots)	0 00
Market discount (noncovered lots)	0 00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0 00
1099-INT total withheld	0 00
1099-OID total withheld	0 00
1099-MISC total withheld	0 00
1099-B total withheld	0 00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099 OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0 00
Acquisition premium (covered lots)	0 00
Acquisition premium (noncovered lots)	0 00
Original issue discount on Treasury obligations	0 00
Acquisition premium Treasury obligations (covered lots)	0 00
Acquisition premium Treasury obligations (noncovered lots)	0 00
Tax-exempt OID	0 00
Tax-exempt OID (lots not reported)	0 00
Acquisition premium (covered)	0 00
Acquisition premium (lots not reported)	0 00
Tax-exempt OID on private activity bonds	0 00
Tax-exempt OID on private activity bonds (lots not reported)	0 00
Acquisition premium (AMT covered)	0 00
Acquisition premium (AMT lots not reported)	0 00
Market discount (all lots)	0 00
Early withdrawal penalty	0 00
Investment expenses	0 00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0 00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0 00
Other Receipts & Reconciliations- Return of principal	0 00
Other Receipts & Reconciliations- Deferred income payment	0 00
Other Receipts & Reconciliations- Deemed premium	0 00
Other Receipts & Reconciliations- Income accrual- UIT	0 00
Other Receipts & Reconciliations- Basis adjustments	0 00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0 00
Fees & Expenses- Margin interest	23 50
Fees & Expenses- Dividends paid on short position	0 00
Fees & Expenses- Interest paid on short position	0 00
Fees & Expenses- Non reportable distribution expense	0 00
Fees & Expenses- Other expenses	0 00
Fees & Expenses- Severance tax	0 00
Fees & Expenses- Organizational expense	0 00
Fees & Expenses- Miscellaneous fees	0 00
Fees & Expenses- Tax-exempt investment expense	0 00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0 00

TD Ameritrade Clearing, Inc	Account 275324363
2023 1099-B OMB No 1545-0715	01/18/2024
Proceeds from Broker and Barter Exchange Transactions	

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor or the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949 Part I with Box A checked Basis is provided to the IRS (Line 12)

Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP 00165C302 / Symbol AMC							
08/25/23	150 000	2 099.21	08/24/23	2 169.50		-70.29	Sale
ALPHABET INC COM CL C / CUSIP 02079K107 / Symbol GOOG							
02/01/23	25 000	2 498.47	01/30/23	2 473.00		25.47	Sale
AMAZON COM INC COM / CUSIP 023135106 / Symbol AMZN							
02/01/23	24 000	2 445.54	01/30/23	2 419.20		26.34	Sale
C3 AI INC COM CL A / CUSIP 12468P104 / Symbol AI							
02/03/23	25 000	608.87	02/01/23	497.75		111.12	Sale
02/07/23	100 000	2 431.21	02/01/23	1 991.00		440.21	Sale
02/23/23	44 000	1 024.74	02/22/23	1 002.06		22.68	Sale
Security total		4,064.82		3,490.81		574.01	
FINGERMOTION INC COM / CUSIP 31788K108 / Symbol FNGR							
07/20/23	1,200 000	5 639.78	Various	6,100.78	598.69 W	137.69	Sale
08/18/23	400 000	1 987.92	Various	2 452.68	464.76 W	0.00	Sale
08/24/23	100 000	433.00	07/21/23	401.99		31.01	Sale
08/24/23	1 000 000	4,409.81	Various	6 910.21	2,500.54 W	0.14	Sale
09/14/23	345 000	2,422.14	07/21/23	1 660.28		761.86	Sale
09/14/23	500 000	3 495.30	Various	2 468.47	69.11 W	1 095.94	Sale
09/26/23	40 000	200.79	07/31/23	365.78	164.99 W	0.00	Sale

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TD Ameritrade Clearing, Inc

2023 1099-B* OMB No 1545 0715

Account 275324363

Proceeds from Broker and Barter Exchange Transactions

(continued)

01/18/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949 Part I with Box A checked Basis is provided to the IRS (Line 12)

Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FINGERMOTION INC COM / CUSIP 31788K108 / Symbol FNGR (cont'd)							
10/12/23	298 000	1 993 56	Various	2 507 09	218 56 W	-294 97	Sale
10/17/23	428 000	2 820 48	Various	3 735 38		-914 90	Sale
	Security total	23,402 78		26,602 66	4,016 65 W	816 77	
GLOBAL TECH INDUSTRIES GRP INC COM / CUSIP 37955R107 / Symbol GTII							
02/07/23	4 188 000	7 868 55	Various	12 450 07	4 581 52 W	0 00	Total of 2 transactions
02/09/23	5 263 000	9 991 76	02/03/23	17 530 65	3 160 22 W	-4 378 67	Sale
02/21/23	1 165 000	2,008 28	Various	4 788 67		-2 780 39	Sale
02/23/23	130 000	177 63	Various	651 21		-473 58	Sale
03/02/23	353 000	437 78	Various	1 285 43		-847 65	Sale
03/07/23	100 000	153 04	Various	319 12		-166 08	Sale
06/12/23	2 472 000	2 067 78	Various	4 774 16	2 706 38 W	0 00	Total of 7 transactions
07/03/23	700 000	556 45	Various	1 503 56	947 11 W	0 00	Sale
07/10/23	123 000	124 64	05/30/23	159 01	34 37 W	0 00	Sale
07/12/23	1 000 000	1 272 89	Various	2 478 00	1 205 11 W	0 00	Sale
07/26/23	170 000	139 42	Various	351 69	212 27 W	0 00	Sale
07/31/23	500 000	426 98	07/25/23	1 313 05		-886 07	Sale
08/01/23	400 000	343 33	07/25/23	565 89		-222 56	Sale
09/20/23	300 000	212 39	Various	430 12	24 26 W	-193 47	Sale
	Security total	25,780 92		48,600 63	12,871 24 W	-9,948 47	
MICROMOBILITY COM INC 1 50 R/S 3/31/23 42309B402 / CUSIP 42309B204 / Symbol HLHZ							
02/03/23	6 358 000	1 512 87	Various	1 499 22		13 65	Total of 3 transactions
LUMINAR TECHNOLOGIES INC COM CL A / CUSIP 550424105 / Symbol LAZR							
02/17/23	153 000	1 025 06	02/17/23	996 86		28 20	Sale
META MATERIALS INC COM / CUSIP 59134N104 / Symbol MMAT							
02/02/23	1 000 000	1 039 94	Various	986 80		53 14	Total of 2 transactions
02/03/23	6 000 000	6 120 79	Various	5 944 11		176 68	Sale
02/21/23	6 000 000	4 092 24	Various	4 471 58		-379 34	Sale
05/11/23	1 895 000	399 77	Various	426 31	26 82 W	0 28	Sale
05/17/23	8 705 000	1 952 99	05/05/23	1 828 05		124 94	Sale
05/19/23	2 370 000	549 97	05/05/23	497 70		52 27	Sale
05/23/23	5 000 000	1 394 26	05/05/23	1 057 58		336 68	Sale
05/26/23	5,938 000	1 400 50	05/05/23	1 262 78		137 72	Sale

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TD Ameritrade Clearing, Inc		Account 275324363
2023 1099-B* OMB No 1545-0715		
Proceeds from Broker and Barter Exchange Transactions (continued)		01/18/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949 Part I with Box A checked Basis is provided to the IRS (Line 12)

Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC COM / CUSIP 59134N104 / Symbol MMAT (cont'd)							
05/30/23	5 000 000	1,260 46	Various	1,046 00		214 46	Total of 2 transactions
06/02/23	12 000 000	2,518 24	05/05/23	2,510 40		7 84	Sale
07/05/23	4 826 000	976 56	05/05/23	1,009 60	33 04 W	0 00	Sale
07/10/23	35 000 000	6,678 65	Various	7,601 46	852 84 W	-69 97	Total of 4 transactions
07/11/23	25 000 000	4,712 84	Various	5,819 23		-1,106 39	Total of 7 transactions
07/12/23	10,000 000	1,889 02	Various	2,373 81		-484 79	Total of 2 transactions
07/21/23	14 008 000	3,069 20	Various	3,666 04		-596 84	Total of 4 transactions
07/24/23	5 000 000	1,070 07	Various	1,332 23		-262 16	Total of 2 transactions
07/25/23	5 000 000	1,034 26	07/20/23	1,300 45		-266 19	Sale
07/31/23	1 583 000	397 26	07/31/23	472 66		-75 40	Sale
09/25/23	2 500 000	519 74	Various	506 25		13 49	Total of 3 transactions
	Security total	41,076 76		44,113 04	912 70 W	-2,123 58	
MULLEN AUTOMOTIVE INC 1 25 R/S 5/4/23 62526P208 / CUSIP 62526P109 / Symbol							
02/02/23	1 278 000	486 33	02/02/23	499 57		-13 24	Sale
MULLEN AUTOMOTIVE INC 1 9 R/S 8/11/23 62526P307 / CUSIP 62526P208 / Symbol							
05/09/23	1 027 000	1,449 76	05/08/23	1,499 32		-49 56	Sale
NIO INC ADR / CUSIP 62914V106 / Symbol NIO							
08/28/23	23 000	249 91	Various	246 32		3 59	Total of 3 transactions
OZOP ENERGY SOLUTIONS INC COM / CUSIP 692732209 / Symbol OZSC							
05/24/23	195 000 000	960 77	05/22/23	1,046 99		-86 22	Sale
09/11/23	45 192 000	176 31	08/22/23	241 95		-65 64	Sale
	Security total	1,137 08		1,288 94		-151 86	
PALANTIR TECH INC COM CL A / CUSIP 69608A108 / Symbol PLTR							
08/28/23	70 000	1,024 06	Various	993 95		30 11	Total of 2 transactions
08/30/23	45 000	702 88	08/24/23	638 97		63 91	Sale
09/05/23	65 000	988 64	Various	919 46		69 18	Sale
09/11/23	70 000	1,080 08	08/25/23	989 10		90 98	Sale
	Security total	3,795 66		3,541 48		254 18	

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TD Ameritrade Clearing, Inc	Account 275324363
2023 1099-B* OMB No 1545-0715	01/18/2024
Proceeds from Broker and Barter Exchange Transactions	
(continued)	

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949 Part I with Box A checked Basis is provided to the IRS (Line 12)

"Gain or loss (-) is NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional Information
PLUG POWER INC COM / CUSIP 72919P202 / Symbol PLUG							
02/02/23	50 000	912 60	02/01/23	848 00		64 60	Sale
02/03/23	350 000	6 180 67	02/01/23	5 987 74		192 93	Sale
	Security total	7,093 27		6,835 74		257 53	
TEMPEST THERAPEUTICS INC COM / CUSIP 87978U108 / Symbol TPST							
10/20/23	83 000	441 56	Various	674 13		-232 57	Sale
TESLA INC COM / CUSIP 88160R101 / Symbol TSLA							
02/02/23	10 000	1 921 91	01/30/23	1 751 73		170 18	Sale
02/03/23	50 000	9 898 89	Various	8 750 50		1 148 39	Sale
02/14/23	30 000	6 179 11	02/09/23	6 222 90	43 79 W	0 00	Sale
02/27/23	5 000	1 040 44	02/09/23	1 037 15		3 29	Sale
02/27/23	10 000	2 069 68	02/09/23	2 074 30	0 92 W	-3 70	Sale
02/28/23	21 000	4 367 45	Various	4 187 00		180 45	Sale
03/02/23	7 000	1 328 24	Various	1 361 12		-32 88	Sale
03/17/23	2 000	355 90	02/13/23	384 96		-29 06	Sale
04/14/23	5 000	913 60	Various	960 40	46 80 W	0 00	Total of 2 transactions
05/08/23	28 000	4 772 00	05/05/23	4 766 30		5 70	Sale
05/09/23	30 000	5 006 96	Various	5 153 55		-146 59	Total of 2 transactions
	Security total	37,854 18		36,649 91	91 51 W	1,295 78	
TROIKA MEDIA G 1 25 R/S 6/01/2023 89689F404 / CUSIP 89689F305 / Symbol							
03/06/23	869 000	649 86	Various	470 13		179 73	Total of 2 transactions
03/08/23	777 000	348 76	03/02/23	443 93	95 17 W	0 00	Sale
03/10/23	1 000 000	285 85	Various	656 23		-370 38	Sale
	Security total	1,284 47		1,570 29	95 17 W	-190 65	
GENIUS GROUP LTD COM / CUSIP Y3005A109 / Symbol GNS							
01/30/23	474 000	3 080 86	01/30/23	2 497 98		582 88	Sale
01/31/23	478 000	2 646 45	Various	2 493 39		153 06	Total of 3 transactions
02/03/23	250 000	1 763 46	Various	1 852 58		-89 12	Total of 2 transactions
02/03/23	140 000	970 17	02/02/23	1,032 72	53 61 W	-8 94	Sale
	Security total	8,460 94		7,876 67	53 61 W	637 88	
Totals		166,159 59		193,047 29	18,040 88 W	-8,846 82	

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TD Ameritrade Clearing, Inc		Account	275324363
Proceeds from Broker and Barter Exchange Transactions			
2023	1099-B* OMB No 1545-0715	(continued)	01/18/2024

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949 Part I with Box B checked or Part II with Box E checked Basis is NOT provided to the IRS (Line 12)

Date acquired Cost or other basis "Accrued market discount" "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported Date (G)ross or (N)et acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLOBAL TECH INDUSTRIES GRP INC COM / CUSIP 37955R107 / Symbol GTII						
06/12/23	15 000	12 61 N/A				Sale
Totals		12 61				

TD Ameritrade Clearing, Inc

Account 275324363

2023

Detail for Interest Income

01/18/2024

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		01/31/23	0 50	Credit interest	
		02/28/23	0 15	Credit interest	
		03/31/23	0 03	Credit interest	
		05/31/23	0 71	Credit interest	
		07/31/23	0 01	Credit interest	
		09/29/23	0 13	Credit interest	
			1 53	Total Interest	
			1 53	Total Interest	

TD Ameritrade Clearing, Inc

Account 275324363

2023

Fees and Expenses

01/18/2024

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations Fees Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		02/28/23	-13.28	Margin interest paid	
		03/31/23	-1.80	Margin interest paid	
		05/31/23	-0.92	Margin interest paid	
		06/30/23	-3.97	Margin interest paid	
		08/31/23	-2.31	Margin interest paid	
		10/31/23	-1.07	Margin interest paid	
		11/30/23	-0.15	Margin interest paid	
			-23.50	Total Margin interest paid	
			-23.50	Total Margin interest paid	

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN) For your protection this form may show only the last four digits of your TIN (social security number (SSN) individual taxpayer identification number (ITIN) adoption taxpayer identification number (ATIN) or employer identification number (EIN)) However the issuer has reported your complete TIN to the IRS

Account number May show an account or other unique number the payer assigned to distinguish your account

Backup Withholding Shows backup withholding Generally a payer must backup withhold if you did not furnish your taxpayer identification number See Form W 9 and Pub. 505 for more information Report this amount on your income tax return as tax withheld

Nominees If the 1099 form includes amounts belonging to another person you are considered a nominee recipient You must file as the payer the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner A spouse is not required to file a nominee return to show amounts owned by the other spouse See the General Instructions for Certain Information Returns

FATCA filing requirement If the FATCA filing requirement box is checked the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement You also may have a filing requirement See the Instructions for Form 8938

Keep tax documents for your records**1099 INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities For a description of covered securities see the Instructions for Form 8949 For a taxable covered security acquired at a premium unless you notified the payer in writing in accordance with Regulations section 1 6045 1(n)(5) that you did not want to amortize the premium under section 171 or for a tax-exempt covered security acquired at a premium your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s) or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s) If you did not notify your payer that you did not want to amortize the premium on a taxable covered security then your payer will only report the gross amount of interest paid to you For a noncovered security acquired at a premium your payer is only required to report the gross amount of interest paid to you

Line 1 Shows taxable interest paid to you during the calendar year by the payer This does not include interest shown in line 3 May also show the total amount of the credits from clean renewable energy bonds new clean renewable energy bonds qualified energy conservation bonds qualified zone academy bonds qualified school construction bonds and build America bonds that must be included in your interest income These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15 June 15 September 15 and December 15) For more information see Form 8912 See the instructions above for a taxable covered security acquired at a premium

Line 2 Shows interest or principal forfeited because of early withdrawal of time savings You may deduct this amount to figure your adjusted gross income on your income tax return See the Instructions for Form 1040 to see where to take the deduction

Line 3 Shows interest on U S Savings Bonds Treasury bills Treasury bonds and Treasury notes This may or may not all be taxable See Pub 550 This interest is exempt from state and local income taxes This interest is not included in line 1 See the instructions above for a taxable covered security acquired at a premium

Line 4 Shows backup withholding Generally a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer See Form W 9

Include this amount on your income tax return as tax withheld

Line 5 Any amount shown is your share of investment expenses of a singleclass REMIC This amount is included in line 1 Note This amount is not deductible

Line 6 Shows foreign tax paid You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040 SR See your tax return instructions

Line 7 Shows the country or U S possession to which the foreign tax was paid

Line 8 Shows tax exempt interest paid to you during the calendar year by the payer See how to report this amount in the Instructions for Forms 1040 This amount may be subject to backup withholding See Line 4 above See the instructions above for a tax-exempt covered security acquired at a premium

Line 9 Shows tax-exempt interest subject to the alternative minimum tax This amount is included in line 8 See the Instructions for Form 6251 See the instructions above for a tax exempt covered security acquired at a premium

Line 10 For a taxable or tax-exempt covered security if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1 6045 1(n)(5)

Instructions for Recipient

Shows the market discount that accrued on the debt instrument during the year while held by you unless it was reported on Form 1099-OID For a taxable or tax-exempt covered security acquired on or after January 1 2015 accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1 6045 1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b) Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 Market discount on a tax-exempt security is includable in taxable income as interest income

Line 11 For a taxable covered security (other than a U S Treasury obligation) shows the amount of premium amortization allocable to the interest payment(s) unless you notified the payer in writing in accordance with Regulations section 1 6045 1(n)(5) that you did not want to amortize bond premium under section 171 If an amount is reported in this line see the Instructions for Schedule B (Form 1040 or 1040 SR) to determine the net amount of interest includable in income on Form 1040 with respect to the security If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization the payer has reported a net amount of interest in line 1 If the amount in line 11 is greater than the amount of interest paid on the covered security see Regulations section 1 171 2(a)(4)

Line 12 For a U S Treasury obligation that is a covered security shows the amount of premium amortization allocable to the interest payment(s) unless you notified the payer in writing in accordance with Regulations section 1 6045 1(n)(5) that you did not want to amortize bond premium under section 171 If an amount is reported in this line see the Instructions for Schedule B (Form 1040 or 1040 SR) to determine the net amount of interest includable in income on Form 1040 or 1040 SR with respect to the U S Treasury obligation If an amount is not reported in this line for a U S Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization the payer has reported a net amount of interest in line 3 If the amount in line 12 is greater than the amount of interest paid on the U S Treasury obligation see Regulations section 1 171 2(a)(4)

Line 13 For a tax-exempt covered security shows the amount of premium amortization allocable to the interest payment(s) If an amount is reported in this line see Pub 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR If an amount is not reported in this line for a tax-exempt covered security acquired at a premium the payer has reported a net amount of interest in line 8 or 9 whichever is applicable If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security the excess is a nondeductible loss See Regulations section 1 171 2(a)(4)(ii)

Line 14 Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid or tax credit bond(s) on which taxable interest was paid or tax credit was allowed to you during the calendar year If blank no CUSIP number was issued for the bond(s)

Lines 15 17 State tax withheld reporting lines

Future developments For the latest information about developments related to Form 1099 INT and its instructions such as legislation enacted after they were published go to www.irs.gov/Form1099INT

Free File Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation e filing and direct deposit or payment options

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases basis for) transactions to you and the IRS on Form 1099-B Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure You may be required to recognize gain from the receipt of cash stock or other property that was exchanged for the corporation's stock If your broker reported this type of transaction to you the corporation is identified in box 1a

CUSIP number Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number

Applicable checkbox on Form 8949 Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040 SR) and which checkbox is applicable See the instructions for your Schedule D (Form 1040 or 1040 SR) and/or Form 8949

Line 1a Shows a brief description of the item or service for which amounts are being reported For regulated futures contracts and forward contracts RFC or other appropriate description may be shown For Section 1256 option contracts Section 1256 option or other appropriate description may be shown For a corporation that had a reportable change in control or capital structure this box may show the class of stock as C (common) P (preferred) or O (other)

Line 1b This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates For short sales the date shown is the date you acquired the security delivered to close the short sale

Line 1c Shows the trade date of the sale or exchange For short sales the date shown is the date the security was delivered to close the short sale For aggregate reporting in boxes 8 through 11 no entry will be present

Line 1d Shows the cash proceeds reduced by any commissions or transfer taxes related to the sale for transactions involving stocks debt commodities forward contracts non-Section 1256 option contracts or securities futures contracts May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses This box does not include proceeds from regulated futures contracts or Section 1256 option contracts Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040 SR)

Line 1e Shows the cost or other basis of securities sold If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1 2014 the basis has been adjusted to reflect your option premium if the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1 2014 your broker is permitted but not required to adjust the basis to reflect your option premium If the securities were acquired through the exercise of a compensatory option the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W 2 If box 5 is checked box 1e may be blank See the Instructions for Form 8949 the Instructions for Schedule D (Form 1040 or 1040-SR) or Pub 550 for details

Line 1f Shows the amount of accrued market discount For details on market discount see the Schedule D (Form 1040 or 1040-SR) instructions the Instructions for Form 8949 and Pub 550 If box 5 is checked box 1f may be blank

Line 1g Shows the amount of nondeductible loss in a wash sale transaction For details on wash sales see the Schedule D (Form 1040 or 1040 SR) instructions the Instructions for Form 8949 and Pub 550 If box 5 is checked box 1g may be blank

Line 2 The short term and long term boxes pertain to short-term gain or loss and long term gain or loss If the "Ordinary" box is checked your security may be subject to special rules For example gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain See the Instructions for Form 8949 Pub 550 or Pub 1212 for more details on whether there are any special rules or adjustments that might apply to your security If box 5 is checked box 2 may be blank

Line 3 If checked proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF)

Line 4 Shows backup withholding Generally a payer must backup withhold if you did not furnish your TIN to the payer See Form W 9 for information on backup withholding Include this amount on your income tax return as tax withheld

Line 5 If checked the securities sold were noncovered securities and boxes 1b 1e 1f 1g and 2 may be blank Generally a noncovered security means stock purchased before 2011 stock in most mutual funds purchased before 2012 stock purchased in or transferred to a dividend reinvestment plan before 2012 debt acquired before 2014 options granted or acquired before 2014 and securities futures contracts entered into before 2014

Line 6 If the exercise of a noncompensatory option resulted in a sale of a security a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium

Line 7 If checked you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d See the Form 8949 and Schedule D (Form 1040) instructions The broker should advise you of any losses on a separate statement

Line 12 If checked the basis in box 1e has been reported to the IRS and either the short term or the long term gain or loss box in box 2 will be checked If box 12 is checked on Form(s) 1099-B and NO adjustment is required see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR) If the "Ordinary" box in box 2 is checked an adjustment may be required

Line 13 Shows the cash you received the fair market value of any property or services you received and the fair market value of any trade credits or scrip credited to your account by a barter exchange See Pub 525

Lines 14 16 Show state(s)/local income tax information

Future developments For the latest information about any developments related to Form 1099-B and its instructions such as legislation enacted after they were published go to www.irs.gov/Form1099B

Free File Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation e filing and direct deposit or payment options